

## PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION  
International Bureau

## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 6: <b>C07K 14/47, A61K 38/17</b>		A1	(11) International Publication Number: <b>WO 99/23114</b> (43) International Publication Date: 14 May 1999 (14.05.99)																																										
<p>(21) International Application Number: <b>PCT/US98/22644</b></p> <p>(22) International Filing Date: 30 October 1998 (30.10.98)</p> <p>(30) Priority Data:</p> <table> <tr> <td>60/064,146</td> <td>31 October 1997 (31.10.97)</td> <td>US</td> </tr> <tr> <td>60/065,209</td> <td>12 November 1997 (12.11.97)</td> <td>US</td> </tr> </table> <p>(63) Related by Continuation (CON) or Continuation-in-Part (CIP) to Earlier Applications</p> <table> <tr> <td>US</td> <td>60/064,146 (CIP)</td> </tr> <tr> <td>Filed on</td> <td>31 October 1997 (31.10.97)</td> </tr> <tr> <td>US</td> <td>60/065,209 (CIP)</td> </tr> <tr> <td>Filed on</td> <td>12 November 1997 (12.11.97)</td> </tr> </table> <p>(71) Applicant (for all designated States except US): BIOMIRIA INC. [CA/CA]; Edmonton Research Park, 2011 – 94 Street, Edmonton, Alberta T6N 1H1 (CA).</p> <p>(72) Inventors; and</p> <p>(75) Inventors/Applicants (for US only): AGRAWAL, Babita [IN/CA]; 329K Michener Park, Edmonton, Alberta T6H 4M5 (CA). REDDISH, Mark, Austin [US/CA]; 4916–122A Street, Edmonton, Alberta T6H 3S7 (CA). LONGE-</p>		60/064,146	31 October 1997 (31.10.97)	US	60/065,209	12 November 1997 (12.11.97)	US	US	60/064,146 (CIP)	Filed on	31 October 1997 (31.10.97)	US	60/065,209 (CIP)	Filed on	12 November 1997 (12.11.97)	<p>NECKER, Bryan, Michael [US/CA]; 440 Rooney Crescent, Edmonton, Alberta T6R 1C8 (CA).</p> <p>(74) Agents: SAXE, Bernhard, D. et al.; Foley &amp; Lardner, Suite 500, 3000 K Street, N.W., Washington, DC 20007-5109 (US).</p> <p>(81) Designated States: AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).</p> <p><b>Published</b> With international search report. Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</p>																													
60/064,146	31 October 1997 (31.10.97)	US																																											
60/065,209	12 November 1997 (12.11.97)	US																																											
US	60/064,146 (CIP)																																												
Filed on	31 October 1997 (31.10.97)																																												
US	60/065,209 (CIP)																																												
Filed on	12 November 1997 (12.11.97)																																												
<p>(54) Title: MUC-1 DERIVATIVES AND THEIR USE IN TREATING CANCER-ASSOCIATED MUC-1 MUCIN-INDUCED IMMUNOSUPPRESSION</p> <table border="1"> <caption>Estimated data from charts</caption> <thead> <tr> <th>Assay</th> <th>Sample</th> <th>Approximate CPM / Count / Response</th> </tr> </thead> <tbody> <tr> <td rowspan="6">3H-Tdr INCORPORATION, CPM</td> <td>MEDIA ALONE</td> <td>~5500</td> </tr> <tr> <td>MUC-1 (0.5 ug/ml)</td> <td>~3000</td> </tr> <tr> <td>MUC-1 (1.0 ug/ml)</td> <td>~2500</td> </tr> <tr> <td>MUC-1 (2.0 ug/ml)</td> <td>~2000</td> </tr> <tr> <td>MUC-1 (5.0 ug/ml)</td> <td>~1500</td> </tr> <tr> <td>OSM mucin (5.0 ug/ml)</td> <td>~6500</td> </tr> <tr> <td rowspan="6">anti-CD3</td> <td>MEDIA ALONE</td> <td>~18000</td> </tr> <tr> <td>MUC-1 (0.5 ug/ml)</td> <td>~7000</td> </tr> <tr> <td>MUC-1 (1.0 ug/ml)</td> <td>~6000</td> </tr> <tr> <td>MUC-1 (2.0 ug/ml)</td> <td>~5000</td> </tr> <tr> <td>MUC-1 (5.0 ug/ml)</td> <td>~20000</td> </tr> <tr> <td>OSM mucin (10.0 ug/ml)</td> <td>~25000</td> </tr> <tr> <td rowspan="6">PHA</td> <td>MEDIA ALONE</td> <td>~22000</td> </tr> <tr> <td>MUC-1 (0.5 ug/ml)</td> <td>~11000</td> </tr> <tr> <td>MUC-1 (1.0 ug/ml)</td> <td>~12000</td> </tr> <tr> <td>MUC-1 (2.0 ug/ml)</td> <td>~10000</td> </tr> <tr> <td>MUC-1 (5.0 ug/ml)</td> <td>~23000</td> </tr> <tr> <td>OSM mucin (10.0 ug/ml)</td> <td>~28000</td> </tr> </tbody> </table>				Assay	Sample	Approximate CPM / Count / Response	3H-Tdr INCORPORATION, CPM	MEDIA ALONE	~5500	MUC-1 (0.5 ug/ml)	~3000	MUC-1 (1.0 ug/ml)	~2500	MUC-1 (2.0 ug/ml)	~2000	MUC-1 (5.0 ug/ml)	~1500	OSM mucin (5.0 ug/ml)	~6500	anti-CD3	MEDIA ALONE	~18000	MUC-1 (0.5 ug/ml)	~7000	MUC-1 (1.0 ug/ml)	~6000	MUC-1 (2.0 ug/ml)	~5000	MUC-1 (5.0 ug/ml)	~20000	OSM mucin (10.0 ug/ml)	~25000	PHA	MEDIA ALONE	~22000	MUC-1 (0.5 ug/ml)	~11000	MUC-1 (1.0 ug/ml)	~12000	MUC-1 (2.0 ug/ml)	~10000	MUC-1 (5.0 ug/ml)	~23000	OSM mucin (10.0 ug/ml)	~28000
Assay	Sample	Approximate CPM / Count / Response																																											
3H-Tdr INCORPORATION, CPM	MEDIA ALONE	~5500																																											
	MUC-1 (0.5 ug/ml)	~3000																																											
	MUC-1 (1.0 ug/ml)	~2500																																											
	MUC-1 (2.0 ug/ml)	~2000																																											
	MUC-1 (5.0 ug/ml)	~1500																																											
	OSM mucin (5.0 ug/ml)	~6500																																											
anti-CD3	MEDIA ALONE	~18000																																											
	MUC-1 (0.5 ug/ml)	~7000																																											
	MUC-1 (1.0 ug/ml)	~6000																																											
	MUC-1 (2.0 ug/ml)	~5000																																											
	MUC-1 (5.0 ug/ml)	~20000																																											
	OSM mucin (10.0 ug/ml)	~25000																																											
PHA	MEDIA ALONE	~22000																																											
	MUC-1 (0.5 ug/ml)	~11000																																											
	MUC-1 (1.0 ug/ml)	~12000																																											
	MUC-1 (2.0 ug/ml)	~10000																																											
	MUC-1 (5.0 ug/ml)	~23000																																											
	OSM mucin (10.0 ug/ml)	~28000																																											
<p>(57) Abstract</p> <p>The invention relates to derivatives of MUC-1 mucin which are particularly useful in relieving states of anergy or immunosuppression. MUC-1 derivatives, pharmaceutical compositions containing them, and methods of using them are also provided.</p>																																													

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece	ML	Mali	TR	Turkey
BG	Bulgaria	HU	Hungary	MN	Mongolia	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MR	Israel	UA	Ukraine
BR	Brazil	IL	Israel	MW	Mauritania	UG	Uganda
BY	Belarus	IS	Iceland	MX	Malawi	US	United States of America
CA	Canada	IT	Italy	NE	Mexico	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NL	Niger	VN	Viet Nam
CG	Congo	KE	Kenya	NO	Netherlands	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NZ	Norway	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	PL	New Zealand		
CM	Cameroon	KR	Republic of Korea	PT	Poland		
CN	China	KZ	Kazakhstan	RO	Portugal		
CU	Cuba	LC	Saint Lucia	RU	Romania		
CZ	Czech Republic	LI	Liechtenstein	SD	Russian Federation		
DE	Germany	LK	Sri Lanka	SE	Sudan		
DK	Denmark	LR	Liberia	SG	Sweden		
EE	Estonia				Singapore		

MUC-1 DERIVATIVES AND THEIR USE IN TREATING CANCER-ASSOCIATED MUC-1  
MUCIN-INDUCED IMMUNOSUPPRESSION

5

Background of the Invention

10 MUC-1 mucin is a high molecular weight glycoprotein with a protein core consisting of tandem repeats of a 20 amino acid sequence and highly-branched carbohydrate side chains. Many human adenocarcinomas, such as breast, colon, lung, ovarian and pancreatic cancers, abundantly over express and secrete under-glycosylated MUC-1 protein. Importantly, a high level of MUC-1 mucin expression is associated with high metastatic potential and poor prognosis. MUC-1 is, therefore, a clinically significant marker for these cancers.

15 High serum MUC-1 levels in cancer patients also have been correlated with immunosuppression in metastatic adenocarcinoma patients who received active specific immunotherapy. The data herein show that MUC-1 is, at least in part, directly responsible for this immunosuppression.

20 Cytokines, such as IL-2, have been used clinically to support immunotherapy of various cancers. The use of cytokines, although capable of reversing MUC-1-induced immunosuppression, leads to a relatively non-specific activation of a wide variety of immune cells.

25 A need exists, therefore, for improved immunotherapeutic medicaments, and regimens using them, that reduce or eliminate MUC-1-induced suppression and/or anergy of immune responses.

Summary of the Invention

It is therefore an object of the invention to provide improved immunotherapeutic medicaments that are useful in relieving anergy and/or suppression of the immune system. According to this object, novel medicaments are provided which are active in relieving immune cell anergy and/or immunosuppression. One class of such medicaments comprises MUC-1

-2-

derivatives which reverse MUC-1-mediated immunosuppression. In one embodiment, MUC-1 derivatives are provided which comprise a peptide derived from the MUC-1 core sequence PDTRPAPGSTAPPAHGVTSA, and permutations thereof. In another embodiment, MUC-1 derivatives are provided which comprise a MUC-1 core peptide derivative fused to a stimulatory antigen. In yet another embodiment, MUC-1 derivatives are provided which comprise a MUC-1 core peptide derivative fused to a cytokine.

It is another object of the invention to provide pharmaceutical compositions suitable for therapeutic applications requiring reversal of immune cell anergy and/or immunosuppression. According to this object, pharmaceutical compositions are provided which comprise MUC-1 derivatives admixed with a pharmaceutically acceptable excipient.

It is yet another object of the invention to provide methods of treatment which relieve antigen-induced immunosuppression and/or immune cell anergy. According to this object, methods are provided which comprise administering to a patient in need of treatment a MUC-1 derivative.

15

#### Brief Description of the Drawings

Figure 1 depicts the ability of MUC-1 to suppress the immune response to the various stimuli indicated.

Figure 2, panel (a) shows a similar suppression by larger tandem repeats of the MUC-1 core sequence, but not the single repeat 16-mer. Panels (b) and (c) show reversal of MUC-1 suppression by Anti-CD28 and IL-2.

Figure 3, depicts alleviation of MUC-1-induced anergy/suppression by 16-mer peptide BP<sub>16</sub>, derived from the MUC-1 core sequence. The left panel is the medium control and the right panel is the experimental, demonstrating specific anergy/suppression alleviation.

25

#### Detailed Description

Mucins are a family of large glycoproteins of greater than 200 kDa molecular weight. Some mucins, such as MUC-1, are membrane-bound molecules with an extended extracellular domain composed of tandem repeats of amino acid (aa) sequences which contain numerous potential O-glycosylation sites. Devine, *et al. BioEssays* 14: 619 (1992).

-3-

Numerous clinical studies have suggested that mucinous tumor antigens, both expressed on the cell surface of tumor cells and shed from the surface of tumor cells, are associated with a poor prognosis of a variety of cancer types. See, for example Kobayashi *et al.* *J. Clinical Oncol.* 10: 95-101 (1992).

5 In a recent study, we demonstrated that cancer patient- derived MUC-1 mucin produces inhibition of specific human T cell responses. Agrawal *et al.* *Nature Medicine*, 4:43-49 (1998). In addition, MUC-1 mucin-derived long synthetic peptides, but not small peptides, produce the same T cell suppression. These MUC-1-derived peptides comprised multiple tandem repeats of the specific 20 amino acid core repeat of MUC-1, indicating the importance of 10 the repeats in this physiological effect. Surprisingly, however, when a peptide which was smaller than three multiples of the 20 amino acid core repeat were tested, the inventors found that it did not induce anergy.

15 The portion of MUC-1 believed responsible for its specific immunosuppressive properties, therefore, is composed of multiple tandem repeats of the twenty amino acid sequence. The inventors hypothesize that multiple repeats are needed to induce immunosuppression because simultaneous interaction with multiple cell surface receptors is required. Thus, cross-linking of multiple receptors, and possibly capping of the crosslinked receptors, may be required for immunosuppression. Accordingly, any medicament that can 20 specifically disrupt this process may be useful in reversing or even preventing MUC-1-induced immunosuppression.

The present invention contemplates MUC-1 derivatives, including specific peptides and peptide mimetics which, as demonstrated by assays, such as those set forth below, have the ability to reverse or prevent MUC-1-induced anergy/immunosuppression. Such compounds have the ability specifically to interfere with the adverse, pathological activities of MUC-1. As 25 used herein, the terms "anergy" and "immunosuppression" are used interchangeably and specifically incorporate all attributes ascribed to these terms, individually and collectively, by the immunological arts.

In view of the foregoing, one class of useful compound will be that which disrupts the binding of MUC-1 to a cell surface receptor. This disruption can occur by competitively 30 inhibiting the binding of MUC-1. Thus, in a prophylactic application, the compound would

-4-

occupy the site through which MUC-1 mediates its immunosuppressive effects, thereby preventing MUC-1 binding altogether. In another application, the inventive compounds may be used to reverse MUC-1 binding by displacing it from the receptor.

5      ***Compounds of the Invention***

The inventive compounds are herein generically termed "MUC-1 derivatives." The compounds are not limited, however, to those specifically derived from MUC-1, but include the entire class of compounds which exhibit activity in relieving MUC-1-induced immunosuppression. Combinations of any of the following permutations is also possible and, to the extent that these combinations fall within the biological and physical description below, they are still considered "MUC-1 derivatives."

An important class of MUC-1 derivatives includes peptide derivatives. Specific peptide-based derivatives include those derived from the sequence of the core repeat of native MUC-1. In one embodiment, the peptide would include the extracellular tandem repeat region of MUC-1, which includes repeats of the amino acid sequence DTRP (Asp-Thr-Arg-Pro). Preferably these tandem repeats include the sequence SAPDTRP (Ser-Ala-Pro-Asp-Thr-Arg-Pro).

A MUC-1 "core repeat," "core sequence" or MUC-1 core" as used herein generally refers to that present in the native MUC-1 molecule, the sequence of which is well known to the artisan, which comprises the 20 amino acid sequence PDTRPAPGSTAPPAHGVTSA (Pro-Asp-Arg-Thr-Pro-Ala-Pro-Gly-Ser-Thr-Ala-Pro-Pro-Ala-His-Gly-Val-Thr-Ser-Ala), and derivatives of this sequence. Thus, different permutations of the 20 amino acid core sequence may be used, including substitutions, deletions, other permutations, and multiple repeats of any of the foregoing. For example, conserving the basic amino acid order and size of the peptide, the starting residue may be permuted. In one example, the repeat may begin with GVTSA, instead of PDTRP, for example, yielding GVTsapdTRPAPGSTAPPAH. Other, similar permutations are also possible.

Deletion derivatives, including truncations and internal deletions, are especially useful. One particularly useful MUC-1 derivative of this class is a 16 amino acid peptide of the sequence GVTsapdTRPAPGSTA.

-5-

Some preferred peptide-based MUC-1 derivatives comprise one, or less than one, peptide core repeat of the MUC-1 mucin. Of course, a minimum size of at least a dipeptide is inherent in such derivatives, since they contain peptide bonds. Thus, a recitation of "at most one MUC-1 core repeat" contemplates a minimum dipeptide. This, of course, is subject to such a molecule having the requisite anergy/immunosuppression alleviating properties. Thus, typical MUC-1 core repeats will have a minimum size of at least about 5 amino acids, for example SAPDTRP, with a class of especially useful repeats having a minimum size of about 10 amino acids. The maximum size of "at most one MUC-1 core repeat" would be 20 amino acids, as prescribed by the native length.

Further MUC-1 derivatives include modified versions of a single MUC-1 core repeat. For example, given the basic repeat sequence, conservative substitutions may be made which preserve the requisite anergy/immunosuppression-reversing characteristics. Amino acid substitutions, *i.e.* "conservative substitutions," may be made, for instance, on the basis of similarity in polarity, charge, solubility, hydrophobicity, hydrophilicity, and/or the amphipathic nature of the residues involved.

For example: (a) nonpolar (hydrophobic) amino acids include alanine, leucine, isoleucine, valine, proline, phenylalanine, tryptophan, and methionine; (b) polar neutral amino acids include glycine, serine, threonine, cysteine, tyrosine, asparagine, and glutamine; (c) positively charged (basic) amino acids include arginine, lysine, and histidine; and (d) negatively charged (acidic) amino acids include aspartic acid and glutamic acid. Substitutions typically may be made within groups (a)-(d). In addition, glycine and proline may be substituted for one another based on their ability to disrupt  $\alpha$ -helices. Similarly, certain amino acids, such as alanine, cysteine, leucine, methionine, glutamic acid, glutamine, histidine and lysine are more commonly found in  $\alpha$ -helices, while valine, isoleucine, phenylalanine, tyrosine, tryptophan and threonine are more commonly found in  $\beta$ -pleated sheets. Glycine, serine, aspartic acid, asparagine, and proline are commonly found in turns. Some preferred substitutions may be made among the following groups: (i) S and T; (ii) P and G; and (iii) A, V, L and I.

Other substitutions include replacing the L-amino acid with the corresponding D-amino acid. This rationale, moreover can be combined with the foregoing conservative substitution rationales. For example, D-serine may be substituted for L-threonine. In addition,

peptides may be prepared which have an inverse sequence, relative to the native sequence. Hence, DTRP becomes PRTD. Such "retro-inverso" peptides are expected to have improved properties, such as increased *in vivo* half-life. This translates into smaller doses and more economically viable production.

5 Other useful MUC-1 derivatives include glycosylated or non-glycosylated peptides. Glycosylation may improve circulating half-life and allow modulation of the immunosuppression-reversing characteristics of MUC-1 derivatives. Glycosylation can be biological or non-biological. For example, biologically relevant N- or O-linked carbohydrates are envisioned. Alternatively, other derivatives, such a succinate, may be employed. Other 10 chemical modifications, such as with polyethylene glycols, are also contemplated.

MUC-1 derivatives also specifically include multiple repeats of any of the specific 15 derivatives defined herein. Moreover, each of the foregoing derivatives can be mixed and matched with each other. These multiple repeats are preferably tandem and usually will have a maximum of three repeated units. Thus, for example, a multiple repeat containing the full 20 amino acid core sequence would have a maximum length of 60 amino acids. However, the maximum number of repeated units ultimately will be determined by the ability of the MUC-1 derivative to relieve anergy/immunosuppression.

Although small peptides may be preferable from both economic and certain 20 technical perspectives, larger molecules are also contemplated. Thus, peptide-based MUC-1 derivatives may be combined with other useful therapeutic agents, yielding enhanced properties. They may be so combined, for example, covalently or electrostatically. Ideally these other therapeutic agents will be immunomodulators, and preferably will have immunostimulatory properties. Although non-protein agents are contemplated, the additional therapeutic agents are 25 preferably proteins, which generically include peptides. Some particularly useful protein therapeutics include cytokines.

In one example, fusion proteins comprise an inventive peptide fused to a cytokine. Such fusions are expected to have hybrid properties of reversing MUC-1-induced immunosuppression and more broadly inducing the immune response. Moreover, due to the interaction of the MUC-1-based peptide component with suppressed T cells, the cytokine will be 30 in a close physical proximity with the target cell, which may allow a specific cytokine-mediated

induction of the very cells being de-repressed by the peptide portion of the MUC-1 derivative. Not only will immunosuppression be relieved, specific immunostimulation of the same T cell population will be achieved.

Particularly useful cytokines include those with immunostimulatory activity. Some preferred cytokines include the interleukins (ILs), and especially IL-2. Other useful cytokines include, for example, IL-1, IL-4, IL-7, IL-10, IL-12, and  $\gamma$ -interferon. MUC-1 may be linked to these molecules with the aid of recombinant DNA techniques. Alternatively the proteins may be attached to each other using known multivalent cross-linking agents. Both of those techniques or well known to the artisan and may be found in any standard compilation of laboratory methods, such as the current versions of Sambrook *et al.*, 1989, MOLECULAR CLONING, A LABORATORY MANUAL, Cold Spring Harbor Press, N.Y.; and Ausubel *et al.*, 1989, CURRENT PROTOCOLS IN MOLECULAR BIOLOGY, Green Publishing Associates and Wiley Interscience, N.Y.

Specific useful MUC-1 derivatives can be derived from purified MUC-1, or portions thereof, produced by native sources or recombinant DNA methodology, by methods that include digestion with enzymes such as pepsin or papain. Alternatively, peptides encompassed by the present invention can be synthesized using an automated peptide synthesizer such as those supplied commercially by Applied Biosystems, Multiple Peptide Systems and others, or they may be produced manually, using techniques well known in the art. See Geysen *et al.*, *J. Immunol. Methods* 102: 259 (1978). Glycosylated and other forms of peptide or protein MUC-1 derivatives may be made according to methods well known in the art.

Although most preferred MUC-1 derivatives are protein- (or peptide-) based, other derivatives are contemplated. For example, small molecules which are amino acid or peptide mimetics may be useful. Rational design of such molecules is possible using methods known in the art. Using, for example, space-filling models, otherwise structurally unrelated compounds may be made to mimic protein-based MUC-1 derivatives. The usefulness of these MUC-1 derivatives can be confirmed using routine assays, such as those presented in the examples.

***Pharmaceutical Compositions of the Invention***

The inventive compositions may be formulated for administration a variety of ways. The pharmaceutical compositions of the invention generally contain a pharmaceutically effective amount of an inventive compound. Preferably, the compound is admixed with a pharmaceutically effective vehicle (excipient).

A suitable formulation will depend on the nature of the specific medicament chosen, whether the treatment is *in vivo* or *ex vivo*, the route of administration desired and the judgment of the attending physician. Suitable formulations and pharmaceutically effective vehicles, can be found, for example, in REMINGTON'S PHARMACEUTICAL SCIENCES, chapters 83-92, pages 1519-1714 (Mack Publishing Company 1990) (Remington's), which are hereby incorporated by reference.

Preferred vehicles include liposomes. See, for example, Remington's at 1691-92. Thus, the inventive compositions may also be formulated, and administered, in combination with other known medicaments, which may provide complementary anergy/immunosuppression relieving activity, in liposomal formulations. Preferred other medicaments include immunomodulators, such as the cytokines discussed above.

The pharmaceutical compositions of the invention also may be formulated with stimulatory antigens, such as adjuvants. Such adjuvants are well known in the vaccine arts and typically function to enhance the immune response. Thus, preferred adjuvants useful in the invention are characterized by enhancing the ability of the inventive medicaments described herein to relieve antigen-induced immunosuppression/anergy. Some examples of well-known and useful adjuvants include those derived from bacterial lipopolysaccharides, such as lipid A, monophosphoryl lipid A.

***Methods of the Invention***

The inventive methods typically involve administering to a patient in need of treatment, an effective amount of at least one MUC-1 derivative, as described above. Of course, administration of the above pharmaceutical compositions is fully interchangeable with administration of any MUC-1 derivative in all of the inventive methods. Other methods contemplate combination therapy with at least one MUC-1 derivative, in conjunction with at

-9-

least one other medicament. The patient may be a human or non-human animal. A patient typically will be in need of treatment when suffering from anergy/immunosuppression, which may be induced by MUC-1.

5 Although primary applicability will be to MUC-1-induced disorders, it is contemplated that the inventive methods may apply more generally. Thus, the biological activity observed herein may also have aspects which are not simply antigen-specific, but are also relevant to reversing anergy/immunosuppression in general. Such a situation typically will arise due to antigenic cross-reactivity. Thus, other anergy- or immunosuppression-inducing antigens may contain the same or overlapping epitopes as MUC-1. Accordingly, the compounds  
10 disclosed herein will be applicable in treating such disorders.

The inventive methods may be employed *in vivo* or *ex vivo*. In a typical *ex vivo* method, for example, peripheral T cells may be isolated from patients, treated with at least one MUC-1 derivative, alone or in combination, and re-infused into the patient.

15 Administration during *in vivo* treatment may be by any number of routes, including parenteral and oral. Specific preferred routes include direct injection into the tumor or the draining lymph nodes. Thus, for example, the tumor infiltrating lymphocytes within the tumor, which are known to be immunosuppressed, will be specifically targeted and de-repressed.

20 MUC-1 derivatives may be administered alone, in combination with each other, or in combination with other medicaments. Ideally these other medicament agents will be immunomodulators, and preferably will have immunostimulatory properties. Both protein and non-protein agents are contemplated. Some particularly useful protein-based agents include stimulatory antigens and cytokines, as provided above. For example, cytokines may be coadministered, simultaneously or in succession, with MUC-1 derivatives. Of course, MUC-1 derivatives also may be used in combination with other anti-neoplastic regimens.

25 The term "treating" in its various grammatical forms in relation to the present invention refers to preventing, curing, reversing, attenuating, alleviating, minimizing, suppressing or halting the deleterious effects of a disease state, disease progression, disease causative agent or other abnormal condition. Methods of prophylaxis are specifically encompassed by the term "treatment."

-10-

Determining a pharmaceutically effective amount of MUC-1 derivative is well within the purview of the skilled clinician, and largely will depend on the exact identity of the inventive compound, particular patient characteristics, route of administration and the nature of the disorder being treated. General guidance can be found, for example, in the publications of  
5 the International Conference on Harmonisation and in REMINGTON'S PHARMACEUTICAL SCIENCES, chapters 27 and 28, pp. 484-528 (Mack Publishing Company 1990).

Determining a pharmaceutically effective amount specifically will depend on such factors as toxicity and efficacy of the medicament. Toxicity may be determined using methods well known in the art and found in the foregoing references. Efficacy may be determined  
10 utilizing the same guidance in conjunction with the methods described below in the Examples. A pharmaceutically effective amount, therefore, is an amount that is deemed by the clinician to be toxicologically tolerable, yet efficacious.

Efficacy, for example, is measured by alleviation or substantial alleviation of anergy or immunosuppression, in accord with the definition of "treating" discussed above. In  
15 quantitative terms, "substantial alleviation" will usually be at least a 50% effect, relative to a normal control, as measured by conventional immunoassays. Since it is usually desirable to achieve a greater degree of relief from immunosuppression/anergy, a preferred effective amount provides a 75% reversal if immunosuppression/anergy. Most preferably, however, at least a 90% effect is obtained, which is considered essentially complete "alleviation."

20 The foregoing discussion and following examples are presented merely for illustrative purposes and are not meant to be limiting. Thus, one skilled in the art will readily recognize additional embodiments within the scope of the invention that are not specifically exemplified.

25

## EXAMPLES

### Example 1:

This example shows that adding purified human MUC-1 mucin to human T-cell cultures strongly inhibits T-cell proliferation against a strong allo-antigenic stimulus (or mitogenic stimulus) *in vitro*.

-11-

The mixed lymphocyte reaction is conducted by mixing the lymphocytes of HLA disparate individuals in *in vitro* tissue cultures. The "responder population" in this experiment is purified T-cells from one population, while the "stimulator" population in this experiment is the peripheral blood lymphocytes obtained from an HLA mismatched individual donor. The 5 two cell populations were mixed and cultured either in the presence or absence of various doses of B27.29 affinity purified MUC-1 mucin that was purified from a pleural effusion fluid. The results of this experiment are depicted in Figure 1.

In the experiment depicted in Figure 1,  $10^6$  T-cells were cultured in AIM V medium in presence of  $10^6$  allo-PBLs (mitomycin C treated) with or without the indicated concentration 10 of affinity purified MUC1 or OSM for 6-7 days. At this time the T-cells were harvested and plated at  $10^5$ /well in 96 well flat bottom plates and the polyclonal stimuli allo-PBLs ( $10^5$ /well), or anti-CD3 (1  $\mu$ g/ml) or PHA (0.2  $\mu$ g/ml), in presence or absence of MUC1 or OSM for 4 days. 15 Each group was set up in a replicate of five wells.  $^3$ H-thymidine (1  $\mu$ Ci/well) was added and the culture plates were further incubated for 18-24 h before harvesting.  $^3$ H-thymidine incorporation into the DNA of proliferating T-cells was measured by liquid scintillation counting. The data are shown as mean CPM of the replicate wells  $\pm$  standard deviations. Each experiment was repeated 4 times and data from one representative experiment is shown.

#### Example 2

20 This example demonstrates the ability of synthetic peptides, having multiple tandem repeats of the MUC-1 core, to inhibit T cell proliferation and the failure of an embodied MUC-1 derivative to so inhibit.

#### **Mucins:**

25 MUC-1 was purified from ascites fluid obtained from ovarian cancer patients. 2M sodium acetate at pH 5 was added to the ascites fluids and centrifuged for 30 minutes at 20k rpm. After filtration through a 0.45 micron cellulose acetate filter, the solution was mixed with B27.29 Mab (Reddish *et al.*, J. Tumor Marker Oncol. 7:19-27 (1992)) CNBr coupled to sepharose 4B overnight, followed by washing with 1M NaCl/PBS. The affinity bound MUC-1 30 mucin was eluted with 50 mM diethanolamine (Fisher purified) in 150 mM NaCl at pH 11. The

-12-

eluant was neutralized with 2M sodium acetate at pH 5. The affinity purified material was dialyzed against PBS and then sterile filtered with Nalgene 0.2 micron cellulose acetate syringe filter. The affinity purified MUC-1 mucin was quantified by using Truquant BR RIA assay (Biomira Diagnostics Inc., Roxdale, ON, Canada). For the calculation of amount of MUC-1 mucin, the conversion formula 1 BR unit as approximately 50 ng of MUC-1 mucin, was used.

Synthetic MUC-1 derivatives contained 1, 3, 4, 5 or 6 tandem repeats of the MUC-1 core and were approximately 16, 60, 80, 100 and 120 amino acids in length. The 16-mer (BP<sub>16</sub>) contained the sequence GVTSAAPDTRPAPGSTA. The other derivatives contained tandem repeats of the sequence TAPPAHGVTSAAPDTRPAPGS.

Ovine submaxillary mucin (OSM) was employed as a control.

#### T cell cultures:

Enriched T cell populations were purified from buffy coats obtained from normal red cross donors using nylon wool columns by previously reported procedures. See, e.g., Agrawal *et al.*, J. Immunol. 157: 2089-95 (1996) and Agrawal *et al.*, J. Immunol. 157: 3229-34 (1996). For the allo MLR, mitomycin C treated allogeneic PBLs were co-cultured with purified T cells in the presence or absence of affinity purified MUC-1 mucin or control OSM. In most of the experiments, the T cells were cultured 6-7 days in AIM V medium in the absence or presence of MUC-1, MUC-1 derivative or OSM at the indicated concentration. After this time, the T cells were harvested, washed and cultured as indicated.

#### Proliferation assay:

For the experiment depicted in Figure 2, purified T cells (10<sup>6</sup>/ml) were cultured in AIM V medium with allo PBLs in the absence or presence of MUC-1, MUC-1 derivative or OSM 10 µg/ml for 6-7 days. T cells were harvested and plated in 96 well flat bottom plates at 10<sup>5</sup>/well with allo PBLs (10<sup>5</sup>/well), in the presence or absence of affinity purified MUC-1, MUC-1 derivative or OSM. Control cultures were treated with either 50 U/ml IL-2 or 1 µg/ml anti-CD28 Mab. After 4 days of culture, <sup>3</sup>H-thymidine (1 µCi/well) was added. The cells were harvested on the fifth day and <sup>3</sup>H-thymidine incorporation was measured by liquid scintillation.

-13-

**Results:**

As seen in Figures 2 and 3, synthetic peptides containing 3-6 tandem repeats of the MUC-1 core significantly reduced the level of T cell proliferation relative to control. This effect was not observed with a peptide containing a single repeat. Moreover, this effect was reversed by treatment with IL-2 or CD28 Mab. Table 1 demonstrates the statistical significance of these data as compared to the medium control.

Table 1

<u>Sample</u>	<u>p</u>
3 repeats	=0.0009
4 repeats	=0.0007
5 repeats	<0.0001
6 repeats	<0.0001

Table 2 demonstrates the statistical significance of these data compared to the OSM control.

Table 2

<u>Sample</u>	<u>p</u>
3 repeats	=0.036
4 repeats	=0.003
5 repeats	<0.0001
6 repeats	<0.0001

Example 3

This example demonstrates the ability of a representative MUC-1 derivative to relieve MUC-1-induced immunosuppression. As depicted in Figure 3, treatment with MUC-1 derivative BP<sub>16</sub> reverses suppression/anergy induced by a MUC-1 100-mer peptide).

In the experiment of Figure 3, purified T-cells (10<sup>6</sup>/ml) were cultured in AIM V medium with allo-PBLs in the presence (100 mer MUC1 peptide group; right panel) or absence (media group; left panel) of 100 mer MUC1 synthetic peptide (25 µg/ml) for 6-7 days. At this time, the T-cells were harvested and plated in 96 well flat bottom plates at 10<sup>5</sup>/well with allo-PBLs (10<sup>5</sup>/well) in the presence or absence of 100 mer MUC1 peptide (25 µg/ml), and the 16 mer MUC1 peptide (less than one tandem repeat) at varying doses. The wells were pulsed with

-14-

<sup>3</sup>H-thymidine (1 µCi/well) on the fourth day of culture followed by harvesting on the fifth day.

<sup>3</sup>H-Thymidine incorporation into the DNA of proliferating T-cells was measured by liquid scintillation counter. Data are shown as mean CPM ± standard deviations. Each group was set up in replicates of 3 wells.

5 The foregoing discussion and following examples are presented merely for illustrative purposes and are not meant to be limiting. Thus, one skilled in the art will readily recognize additional embodiments within the scope of the invention that are not specifically exemplified.

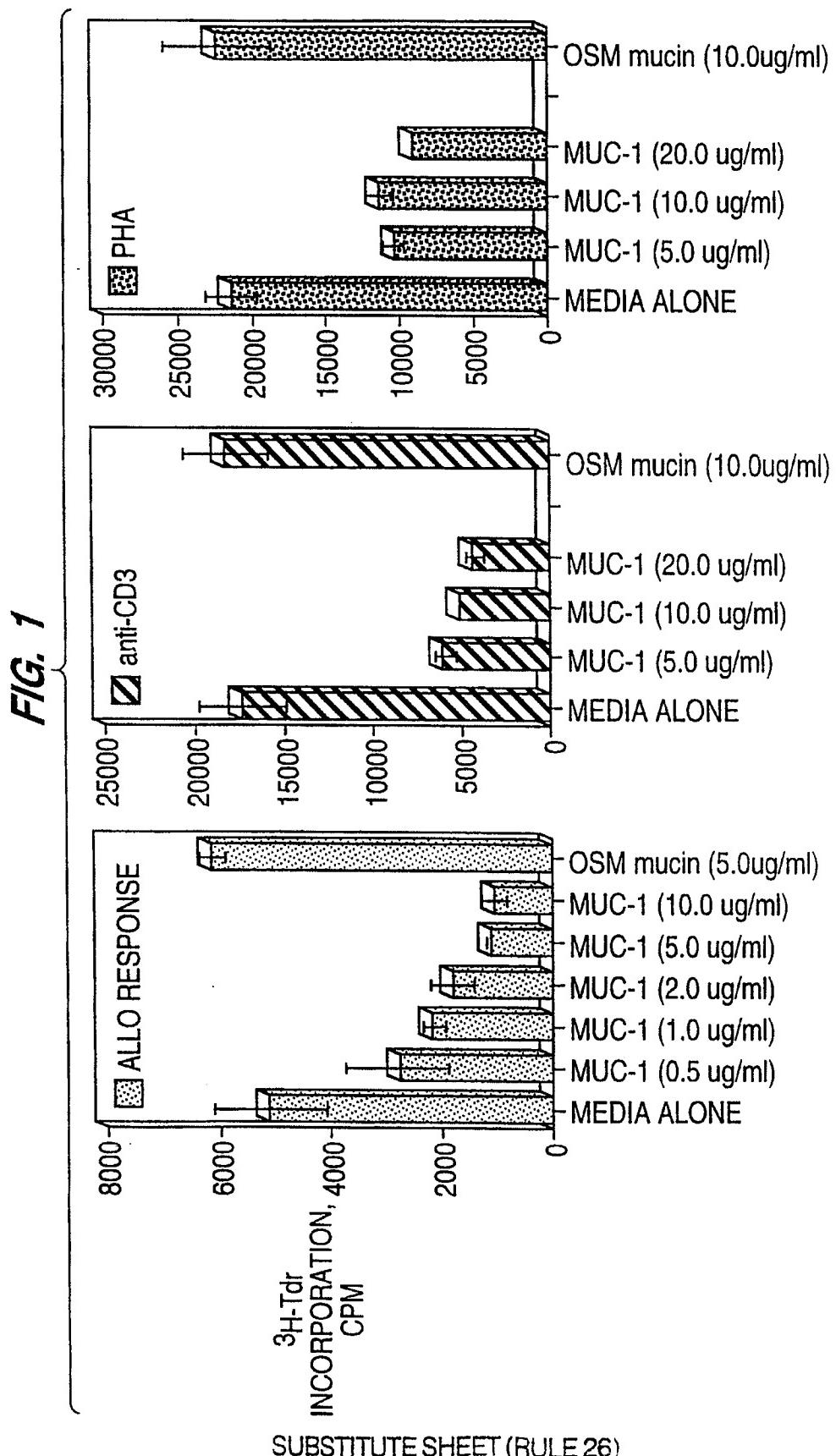
What Is Claimed Is:

1. A MUC-1 derivative, consisting essentially of a single MUC-1 core repeat.
2. A MUC-1 derivative, comprising the amino acid sequence GVTSA  
PDTRPAPGSTA, wherein said derivative is less than 60 amino acids in length.
3. A MUC-1 derivative, comprising a single MUC-1 core repeat, or a derivative thereof, linked to a cytokine.
4. The MUC-1 derivative according to claim 3, wherein said cytokine is IL-2.
5. A pharmaceutical composition, comprising a MUC-1 derivative and a pharmaceutically acceptable excipient.
6. A pharmaceutical composition according to claim 5, further comprising an adjuvant, wherein said MUC-1 derivative consists essentially of from one to three MUC-1 core repeats.
7. A method of treatment, comprising administering to a patient in need of treatment a MUC-1 derivative in an amount sufficient substantially to alleviate immunosuppression or anergy.
8. The method of claim 7, wherein said MUC-1 derivative consists essentially of a single MUC-1 core repeat.
9. The method of claim 7, wherein said MUC-1 derivative comprises the amino acid sequence GVTSA  
PDTRPAPGSTA, wherein said derivative is less than 60 amino acids in length.

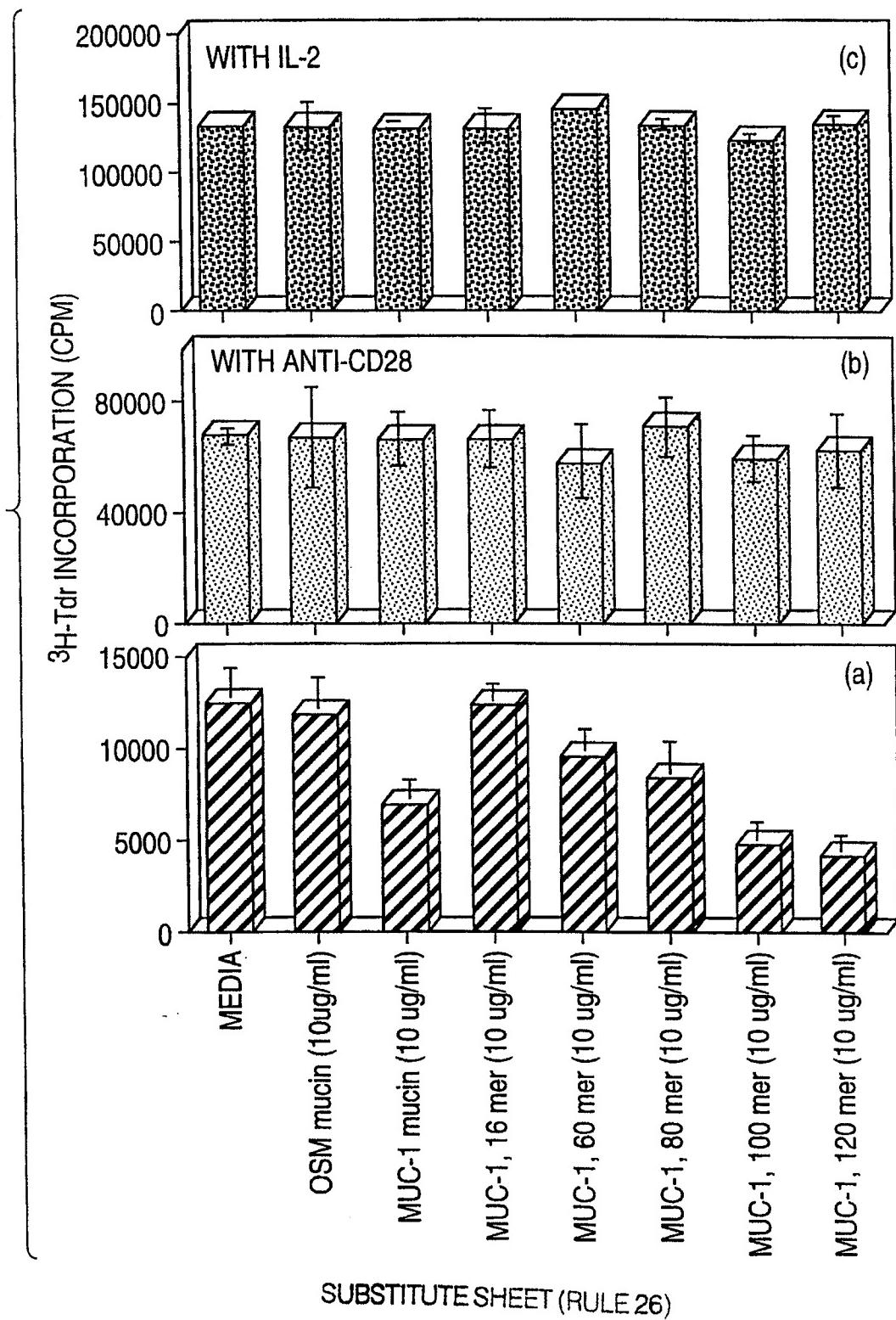
-16-

10. The method of claim 7, wherein said MUC-1 derivative comprises from one to three MUC-1 core repeats, or derivatives thereof, and a cytokine.
11. The method of claim 10, wherein said cytokine is IL-2.
12. The method of claim 7, further comprising co-administering a cytokine.
13. The method of claim 12, wherein said cytokine is IL-2.

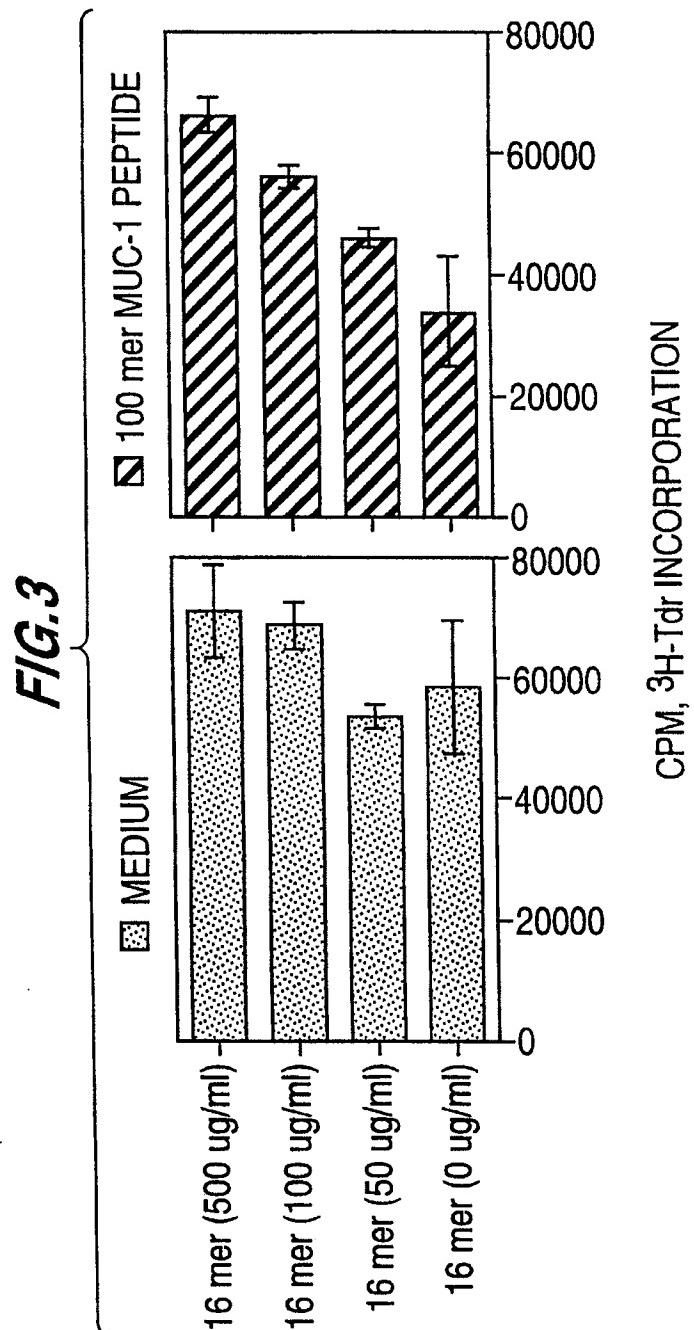
1/3



2/3

**FIG. 2**

3/3



# INTERNATIONAL SEARCH REPORT

International Application No  
PCT/US 98/22644

**A. CLASSIFICATION OF SUBJECT MATTER**  
IPC 6 C07K14/47 A61K38/17

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)  
IPC 6 C07K

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	AGRAWAL B ET AL: "In vitro induction of MUC-1 peptide-specific type 1 T lymphocyte and cytotoxic T lymphocyte responses from healthy multiparous donors." JOURNAL OF IMMUNOLOGY, (1996 SEP 1) 157 (5) 2089-95. JOURNAL CODE: IFB. ISSN: 0022-1767., XP002098870 United States see the whole document ---	1-13
X	WO 90 05142 A (IMP CANCER RES TECH) 17 May 1990 see the whole document ---	1,2,5,6
X	WO 96 22067 A (UNITED BIOMEDICAL INC) 25 July 1996 see the whole document ---	1,2,5-9
		-/-

Further documents are listed in the continuation of box C.

Patent family members are listed in annex.

\* Special categories of cited documents :

- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier document but published on or after the international filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

- "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- "&" document member of the same patent family

Date of the actual completion of the international search

6 April 1999

Date of mailing of the international search report

16/04/1999

Name and mailing address of the ISA  
European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax: (+31-70) 340-3016

Authorized officer

Groenendijk, M

# INTERNATIONAL SEARCH REPORT

International Application No  
PCT/US 98/22644

**C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT**

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	<p>COVENTRY B J ET AL: "Lack of IL-2 cytokine expression despite IL-2 messenger RNA transcription in tumor-infiltrating lymphocytes in primary human breast carcinoma: selective expression of early activation markers." JOURNAL OF IMMUNOLOGY, (1996 MAY 1) 156 (9) 3486-92. JOURNAL CODE: IFB. ISSN: 0022-1767., XP002098871 United States see the whole document</p> <p>-----</p>	
P,X	<p>AGRAWAL E.A.: "Cancer-associated MUC1 mucin inhibits T-cell proliferation, which is reversible by IL-2" NATURE MEDICINE, vol. 4, no. 1, January 1998, pages 43-49, XP002098872 see the whole document</p> <p>-----</p>	1-13

**INTERNATIONAL SEARCH REPORT**

International application No.

PCT/US 98/ 22644

**Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)**

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1.  Claims Nos.:

because they relate to subject matter not required to be searched by this Authority, namely:

**Remark:** Although claims 7-13 are directed to a method of treatment of the human/animal body, the search has been carried out and based on the alleged effects of the compound/composition.

2.  Claims Nos.:

because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:

3.  Claims Nos.:

because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

**Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)**

This International Searching Authority found multiple inventions in this international application, as follows:

1.  As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.

2.  As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.

3.  As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:

4.  No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

**Remark on Protest**

The additional search fees were accompanied by the applicant's protest.

No protest accompanied the payment of additional search fees.

**INTERNATIONAL SEARCH REPORT**

Information on patent family members

International Application No

PCT/US 98/22644

Patent document cited in search report	Publication date	Patent family member(s)		Publication date
WO 9005142 A	17-05-1990	EP 0442926 A		28-08-1991
		JP 4501719 T		26-03-1992
WO 9622067 A	25-07-1996	AU 5849796 A		07-08-1996